



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In regard to the application of: Ari DEROWE et al.

Serial No : 09/701,531

Group Art Unit: 3731

Filed : November 28, 2000

Examiner: HO, U. T.

For : METHODS AND DEVICES FOR VASCULAR SURGERY

**SEVENTH SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

Applicants respectfully direct the attention of the Examiner to additional art cited with respect to the present application.

The Examiner is respectfully requested to review and consider this art, in accordance with MPEP 2001.06, MPEP 1893.03(e) and MPEP 1893.03(g) and to indicate in the next office action that he has considered this art. Additionally, the Examiner is respectfully requested to cite those prior art publications mentioned in this application which the Examiner considers to be material or relevant to the present claims.

Further, in order to comply with discretionary regulations 37 CFR §1.97 and §1.98, attached is an Equivalent to Form PTO-1449 listing the cited art. Also attached are copies<sup>1</sup> of the art. This art contains information which the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

In accordance with MPEP Section 609 it is requested that each document cited [including any mentioned in Applicants' specification which is not repeated on the attached (or prior) PTO-1449 form(s) or equivalent(s)] be given thorough consideration and be cited of record in the prosecution history of the present application by initialing on the PTO-1449 form or its equivalent, so that it will appear on the face of the patent issuing on the present application, even if the Examiner does not consider it sufficiently pertinent to use in a

<sup>1</sup> To the extent that a document is listed and no copy of same is attached, then such document is not at the present time available to the undersigned or is available in the national stage file. If a listed document is not in the English language and an English translation is readily available, such translation is also attached; if translation is not attached, it is not readily available to the undersigned. If a foreign language patent document is cited, and an English language equivalent is known to the undersigned, then such an equivalent patent is also cited on the attached form along with the corresponding foreign language patent and a connecting arrow indicated therebetween; if no such English language equivalent is cited then none is known to the undersigned.



application, even if the Examiner does not consider it sufficiently pertinent to use in a rejection, or otherwise does not believe that the guidelines for citation have been fully complied with.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR §1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR §1.104(a), and in the course of such search will review for relevance every document cited on the attached form.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,  
Ari DEROWE et al.



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Maier FENSTER  
Registration No. 41,016

December 31, 2003  
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PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Applicant: Ari Derowe, et al.  
Serial No: 09/701,531  
Filing Date: November 28, 2000  
For: METHODS AND DEVICES FOR VASCULAR SURGERY  
Enclosures: (1) Transmittal of Information Disclosure Statement (3 pages); (2) 7th Supplementary Information Disclosure Statement (2 pages) w/IDS Citation (2 pages) & forty-two references; (3) Acknowledgement Postcard.

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3731 \$

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
088/01925

In Re Application of: Ari DEROWE et al.

JAN 08 2004

Serial No.

097101,531

Filing Date

November 28, 2000

Examiner

HO, U. T.

Group Art Unit

3731

Title: METHODS AND DEVICES FOR VASCULAR SURGERY

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**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
088/01925

In Re Application: Ari DEROWE et al.  
JAN 08 2004

Serial No.  
097701,531

Filing Date  
November 28, 2000

Examiner  
HO, U. T.

Group Art Unit  
3731

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: December 31, 2003

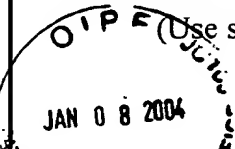
  
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cc:



<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary) 	ATTY DOCKET NO. <b>088/01925</b>	SERIAL NO. <b>09/701,531</b>
	APPLICANT(S) <b>Ari DEROWE, et al.</b>	
	FILING <b>November 28, 2000</b>	GROUP <b>3731</b>

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	Filing date if appropriate
	1	3,901,243	26 Aug '75	READ, L.			
	2	4,366,819	04 Jan '83	KASTER, R. L.			
	3	5,236,437	17 Aug '93	WILK, P. J. et al.			
	4	5,284,485	08 Feb '94	KAMMERER, G. W. et al.			
	5	5,323,765	28 Jun '94	BROWN, M. G.			
	6	5,445,623	29 Aug '95	RICHMOND, F. M.			
	7	5,484,451	16 Jan '96	AKOPOV, E. et al.			
	8	5,575,800	19 Nov '96	GORDON, N. S.			
	9	5,709,335	20 Jan '98	HECK, C. F.			
	10	5,817,111	06 Oct '98	RIZA, E. D.			
	11	5,824,008	20 Oct '98	BOLDUC, L. R. et al.			
	12	6,186,942	13 Feb '01	SULLIVAN, D. J. et al.			
	13	6,206,912	27 Mar '01	GOLDSTEEN, D. S. et al.			
	14	6,261,315	17 Jul '01	ST. GERMAIN, J. P. et al.			
	15	6,302,905	16 Oct '01	GOLDSTEEN, D. S. et al.			
	16	6,309,416	30 Oct '01	SWANSON, W. J. et al.			
	17	6,391,036	21 May '02	BERG, T. A. et al.			

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### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	18	WO 99/40868	19 Aug '99	PCT				
	19	WO 00/21436	20 Apr '00	PCT				
	20	WO 00/66009	09 Nov '00	PCT				

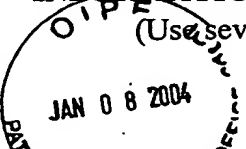
### OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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	APPLICANT(S) <b>Ari DEROWE, et al.</b>	
	FILING <b>November 28, 2000</b>	GROUP <b>3731</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	Filing date if appropriate
	21	6,428,550	06 Aug '02	VARGAS, J. et al.			
	22	6,461,320	08 Oct '02	YENCHO, S. A. et al.			
	23	6,497,710	24 Dec '02	YENCHO, S. A. et al.			
	24	6,503,260	07 Jan '03	SCHALLER, L. et al.			
	25	6,508,252	21 Jan '03	BERG, T. A. et al.			
	26	6,514,196	04 Feb '03	SULLIVAN, D. J. et al.			
	27	6,514,265	04 Feb '03	HO, L. et al.			
	28	6,517,558	11 Feb '03	GITTINGS, D. C. et al.			
	29	6,533,812	18 Mar '03	SWANSON, W. J. et al.			
	30	6,537,288	25 Mar '03	VARGAS, J. et al.			
	31	6,588,643	08 Jul '03	BOLDUC, L. R. et al.			
	32	2001/0004699	21 Jun '01	GITTINGS, D. C. et al.			
	33	2001/0025643	04 Oct '01	FOLEY, M.			
	34	2001/0047180	29 Nov '01	GRUDEM, J. et al.			
	35	2002/0004663	10 Jan '02	GITTINGS, D. C. et al.			
	36	2002/0022857	21 Feb '02	GOLDSTEEN, D. S. et al.			
	37	2002/0087046	04 Jul '02	SULLIVAN, D. J. et al.			
	38	2002/0087181	04 Jul '02	GOLDSTEEN, D. S. et al.			
	39	2002/0108621	15 Aug '02	BERG, T. A. et al.			
	40	2003/0083541	01 May '03	SULLIVAN, D. J. et al.			
	41	2003/0083679	01 May '03	GRUDEM, J. et al.			
	42	2003/0093118	15 May '03	HO, L. et al.			

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